89-948

Supreme Court, U.S.
FILED
NOV 22 1989
JOSEPH F. SPANIOL, JR.
CLERK

No. _____

In The
Supreme Court of the United States
October Term, 1989

OFFICER DONALD BAILEY AND OFFICER TIMOTHY TARPENING,
Petitioners,

VS.

HELEN ROBINSON,
Respondent.

CROSS PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE SIXTH CIRCUIT

AND APPENDIX

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QUESTIONS PRESENTED FOR REVIEW

I.

CERTIORARI SHOULD BE GRANTED TO CORRECT THE OPINION OF THE COURT BELOW WHICH IS INCONSISTENT AND IN CONFLICT WITH THE DECISION OF OTHER CIRCUITS ON THE ISSUE OF CIVIL CONSPIRACY UNDER 42 U.S.C. §1983.

PARTIES TO PROCEEDINGS

Petitioner, Helen Robinson, is the duly appointed personal representative of the estate of William Robinson, deceased. She is also his widow. She has brought this action on behalf of the decedent's estate, his next of kin as defined by MCLA 600.2922; MSA 27A. 2922 and herself.

Defendant Waterford Township is a municipality existing by virtue of the constitution of the State of Michigan.

Defendants Officer Donald Bailey and Officer Timothy Tarpening are police officers, employed by the Township of Waterford. They are cross-petitioners in this Court.

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CROSS PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE SIXTH CIRCUIT

OPINIONS BELOW

On August 18, 1989, the United States Court of Appeals for the Sixth Circuit issued its opinion, affirming in part, reversing in part and remanding the decision of the district court. That opinion (A1) is unpublished.

On September 21, 1989, the United States Court of Appeals for the Sixth Circuit entered its order denying Cross-Petitioner's timely filed Motion for Rehearing (B1).

SUPREME COURT JURISDICTION

Cross-Petitioners seek review of portions of the opinion of the United States Court of Appeals for the Sixth Circuit and its order of September 21, 1989. That order denied Cross-Petitioner's timely filed Motion for Rehearing. Petitioner

then filed her Petition for Writ of Certiorari to the United States Court of Appeals for the Sixth Circuit, having been received by the Cross-Petitioners Officer Donald Bailey and Officer Timothy Tarpening on October 23, 1989. Cross-Petitioners Officer Donald Bailey and Officer Timothy Tarpening invoke the certiorari jurisdiction conferred on this Court by 28 U.S.C. §1254(1) and Sup. Ct. R. 19.5.

STATUTE INVOLVED

42 U.S.C. §1983 provides:

§1983. Civil Action for Deprivation of Rights.

Every person who, under color of any statute, ordinance, regulation, custom, or usage, of any State or Territory or the District of Columbia, subjects, or causes to be subjected, any citizen of the United States or other person within the jurisdiction thereof to the deprivation of any rights, privileges, or immunities secured by the Constitution and laws, shall be liable to the party injured in an action at law, suit in equity, or other proper proceeding for redress. For the purposes of this section, any Act of Congress applicable exclusively to the District of Columbia shall be considered to be a statute of the District of Columbia.

STATEMENT OF THE CASE

On May 24, 1980, Petitioner's Decedent, William Robinson, had again wandered into the cafeteria of the Sears department store located inside of the Pontiac Mall. It was not the first occasion that William Robinson wandered into the Sears store. In fact, Gregory Palmer, the security guard at the Sears store, had received numerous calls from employees and sales clerks regarding Mr. Robinson's behavior on prior occasions. In March of 1980, William Robinson was present in the cafeteria of Sears and had failed to pay for some coffee

and a sandwich. William Robinson was asked to pay for the items, but did not have any money. When Mr. Robinson asked some customers for money to pay for his items, they shrugged their shoulders and walked away. The Waterford Township Police were called, and they responded. Mr. Robinson was not arrested by the police, but was again transported to the Pontiac Mission, where he customarily asked to be taken.

On May 23, 1980, Mr. Robinson was again present at the Sears store. He had a very offensive odor. After leaving the Sears store, Mr. Robinson attempted to gain entry to a car which one of the Sears customers was entering. Mr. Palmer again called the Waterford Township Police Department because Mr. Robinson's conduct presented an annoyance to the customers in the store.

On the following day, May 24, 1980, Mr. Robinson was again present inside of the Sears store. Mr. Palmer received a call from the switchboard operator on the second floor, a restricted area, indicating that Mr. Robinson was wandering around. Mr. Robinson was asked to exit the restricted area and leave the store. However, Mr. Palmer was again called to the cafeteria where Mr. Robinson had proceeded. Mr. Palmer was informed that Mr. Robinson was taking the bread from the tops of the sandwiches in the food line and putting them in his pockets. Mr. Robinson had also helped himself to a cup of coffee, and walked through the line without paying for the item. Mr. Robinson also approached customers asking for money again. After Mr. Robinson sat down with his coffee, he took approximately 6 to 12 packages of sugar and poured them all in his coffee and made a mess on the table in the area. Mr. Robinson was asked by Mr. Palmer if he had any money, which he did not. Mr. Robinson was asked to leave the store by Mr. Palmer, but he did not.

Gregory Palmer then called the Waterford Township Police Department because Mr. Robinson had failed to pay for food, and had failed to leave the store when he was asked to do so. Mr. Palmer was also concerned that the odor around Mr. Robinson was offensive, and that the customers in the store were staying

away from Mr. Robinson by going to tables on the other side of the cafeteria, or leaving the cafeteria completely.

Officer Timothy Tarpening responded to the call that was made by Gregory Palmer. Officer Tarpening not only discussed this conduct with Mr. Palmer, he also witnessed William Robinson's behavior and physical condition while inside of the store. Cross-Petitioner Officer Timothy Tarpening arrested William Robinson only after he had made his own observations, which indeed confirmed the information that was given to him by Gregory Palmer. Officer Tarpening observed that Mr. Robinson was emitting a body odor that was very offensive to the general public by observing how the people in the area reacted to William Robinson. Mr. Robinson was also mumbling to himself, and acting in a manner that was very annoying and alarming to the people in the Sears store.

William Robinson was arrested under Section 5.3 of Waterford Township Ordinance 87, entitled, "Disorderly Conduct Ordinance." The acts of conduct displayed by William Robinson, giving rise to probable cause for the arrest were in fact committed in the presence of Cross-Petitioner Officer Tarpening. In that this was a misdemeanor offense, a warrantless arrest was permitted because conduct took place in the presence of Cross-Petitioner Tarpening, the arresting police officer.

On May 6, 1982, the Petitioner filed a complaint against the Cross-Petitioners, alleging causes of action under 42 U.S.C. §1983 for the false arrest, imprisonment, and prosecution of the decedent in violation of his rights protected by the United States Constitution. The Petitioner also alleged in her complaint that Cross-Petitioners Bailey and Tarpening acted in conspiracy to falsely arrest, imprison, and prosecute the decedent.

After the completion of a lengthy trial, the jury found that Cross-Petitioners Tarpening and Bailey did not commit any false arrest or imprisonment upon Petitioner's decedent, William Robinson. On January 11, 1984, the Court entered its judgment on the verdict which adopted the jury's verdict in favor of the Cross-Petitioners Bailey and Tarpening.

On appeal, the Sixth Circuit affirmed the judgment of no cause of action on the issues of false arrest and imprisonment of the decedent. However, the Court of Appeals for the Sixth Circuit reversed the directed verdict on the conspiracy claim that the trial court had previously entered in favor of the Cross-Petitioners Bailey and Tarpening.

The Cross-Petitioners now seek the review of this Court for the reasons indicated below.

THE REASONS WHY CERTIORARI SHOULD BE GRANTED

I.

CERTIORARI SHOULD BE GRANTED TO CORRECT THE OPINION OF THE COURT BELOW WHICH IS INCONSISTENT AND IN CONFLICT WITH THE DECISION OF OTHER CIRCUITS ON THE ISSUE OF CIVIL CONSPIRACY UNDER 42 U.S.C. §1983.

The opinion of the United States Court of Appeals for the Sixth Circuit entered on August 18, 1989 concluded that the jury verdict in favor of Cross-Petitioners Bailey and Tarpening on the Plaintiff's claims of false arrest and imprisonment was not against the weight of evidence and accordingly did not disturb the jury's finding. (See appendix). Likewise, this Court also affirmed the directed verdict in favor of Cross-Petitioners Officers Bailey and Tarpening on the pendent state law claim of malicious prosecution. However, the court below reversed the District Court's directed verdict in favor of Cross-Petitioners Bailey and Tarpening and remanded this matter to allow the issue of conspiracy to be presented to a jury after holding that "reasonable people could have concluded that a conspiracy existed". Cross-Petitioners Bailey and Tarpening now cross-petition this Court for a Writ of Certiorari asking this

Court to reconsider the opinion of the court below and dismiss the conspiracy claim against Cross-Petitioners Bailey and Tarpening in that the decision is inconsistent and in conflict with the decision of another Federal Court of Appeals on the same matter.

As this Court is well aware, a conspiracy is not actionable under 42 U.S.C. §1983 in and of itself. As the court stated in *Krum v Sheppard*, 255 F. Supp. 994 (W.D. Mich. 1966):

> "In Michigan the cause of action does not result from the conspiracy, but from the wrongful acts causing damage which were done in furtherance of the conspiracy.
>
> The foundation of the action is not the conspiracy, but the damages. *Auto Workers' Temple Ass'n v Janson*, 227 Mich 430, 433, 198 N.W. 992 (1924); *Fenestra, Inc v Gulf Amer. Land Corp*, 377 Mich. 565, 594, 141 N.W.2d 36 (1966)."

255 F. Supp. at 998. The court in *Landrigen v City of Warwick*, 628 F.2d 736 (1st Cir. 1980) also discussed when conspiracies under 42 U.S.C. §1983 may be actionable. The court stated:

> "While conspiracies may be actionable under Section 1983, it is necessary that there have been, besides the agreement, an actual deprivation of a right secured by the Constitution and laws. *Slavin v Curry*, 574 F.2d 1256, 1261 (5th Cir. 1978), modified on other grounds, 583 F.2d 779 (1978), overruled on other grounds, *Sparks v Duval County Ranch Company, Inc*, 604 F.2d 976, 978 (5th Cir. 1979). In order to state an adequate claim for relief under Section 1983, 'plaintiff must allege and prove both a conspiracy and an actual deprivation of rights; mere proof of a conspiracy is insufficient to establish a Section 1983 claim.' *Hampton v Hanrahan*, 600 F.2d 600, 622 (1979). (The gist of the [Section 1983] cause of action is the deprivation and not the conspiracy." *Lesser v Braniff Airways, Inc*, 518 F.2d 538, 540 n.2 (7th Cir. 1975). Conspiracy is merely the mechanism

by which to obtain the necessary State action, see, e.g., *Adickes v Kress & Company*, 398 U.S. 144, 150–152, (1970), or to impose liability on one defendant for the acts of the others performed in pursuance of the conspiracy, see *Nesmith v Alford*, 318 F.2d 110, 126 (5th Cir. 1963), cert. denied, 375 U.S. 975, (1964)."

628 F.2d at 742. See also *Hanna v Home Insurance Co*, 281 F.2d 298, 303 (5th Cir. 1960). ("Moreover, Section 1983 does not provide a cause of action for a conspiracy to deny due process; there must be an actual denial of due process before a cause of action arises."), *Rhodes v Mabus*, 676 F. Supp. 755, 760 (S.D. Miss. 1987) ("A conspiracy is actionable under Section 1983 but only if the plaintiff can prove an actual deprivation of a constitutional right.")

Cross-Petitioners Bailey and Tarpening believe that the lower court's affirmation of the jury finding that there was no false arrest and imprisonment of William Robinson would preclude a resubmission of this case to the jury on the issue that there was a *conspiracy* between them to falsely arrest and imprison Mr. Robinson. As the above case authority suggests, conspiracy in and of itself is not actionable under 42 U.S.C. §1983 unless there has been some actual deprivation of a right secured by the Constitution and laws. In that a claim for damages under 42 U.S.C. §1983 seeks money damages, there must be some type of overt action on the part of the Cross-Petitioners Bailey and Tarpening causing the damages for which the Plaintiff is to be compensated. In this case, the jury found that there was no false arrest and imprisonment and, therefore, no deprivation of any of William Robinson's constitutional rights by Cross-Petitioners, Bailey and Tarpening. *If there was no false arrest and imprisonment performed by Cross-Petitioners Bailey and Tarpening, then there can be no actionable claim for civil conspiracy to falsely arrest or imprison Mr. Robinson as the above cases make clear.* However, this Court reversed the District Court's directed

verdict in favor of Cross-Petitioners Bailey and Tarpening and indicated on page 12 of its opinion that this matter would be remanded to allow the issue of conspiracy to be presented to a jury. The conspiracy to which the court was referring was a conspiracy to arrest Mr. Robinson without probable cause and confining him as long as possible, (i.e. false arrest and imprisonment).

The claims of false arrest and false imprisonment and a *conspiracy* to falsely arrest and falsely imprison were aptly distinguished by the trial judge, the Honorable Ralph B. Guy, Jr. The Court on page 511 of Vol. I of II of the trial transcript stated:

> "Let me stop you there and just say this, that again — because I don't want us to argue things unless there is a real issue. There is no conspiracy necessary for Tarpening and Bailey. If someone orders a false arrest and somebody else carries it out, the liability for making a false arrest is no different than conspiracy. Conspiracy only gets involved if you're talking about a criminal case where it's a separate trial. You might want to plead conspiracy in a civil case to take advantage of the co-conspirators' exception to the hearsay rule. But where three police officers stop somebody in a routine traffic stop and pull them out of the car and all three beat him up, you don't need to allege a conspiracy. They all did whatever the acts are. So, in the context of the false arrest, again, aren't we arguing about something that isn't really necessary?"

The trial court further stated at page 515 of Vol. I of II of the trial transcript:

> "I know, but that has got to translate into a constitutional tort. It has got to translate into something. It sounds to me like essentially you're talking false imprisonment or false arrest again.
>
> If an officer says, ' Judge, I don't like this guy. Throw

> the book at him, if you find him guilty,' he hasn't committed any actionable wrong. It's only if you hook this into either false arrest or false imprisonment that you have got a handle. Again, I don't see the necessity of all the talk about conspiracy."

As the District Court well understood, conspiracy to falsely arrest or falsely imprison would only be actionable if in fact a false arrest or false imprisonment occurred. *If* a jury did find that Cross-Petitioners Bailey and Tarpening did falsely arrest and/or falsely imprison William Robinson then they may have also concluded that a conspiracy to commit this wrongful conduct occurred if in fact an agreement to do the wrongful conduct had been established. However, the reverse does not follow. In other words, a jury finding that Cross-Petitioners Bailey and Tarpening did not falsely arrest or imprison William Robinson could never give rise to a conspiracy to commit this wrongful conduct because of their finding that a wrongful conduct never occurred. The Supreme Court must, therefore, grant Cross-Petitioners' Petition for Writ of Certiorari to correct the obvious inconsistency that has been created by the decision of the court below.

In *Lenard v Argento*, 699 F.2d 874 (7th Cir. 1983), the court reversed the jury's finding that the police officers in that case did not have probable cause for the plaintiff's arrest. In reversing the jury verdict on this issue, the court in *Lenard, supra*, also reversed the conspiracy allegations that were also made. The court stated:

> "This court does not lightly set aside this jury verdict but under the facts which were before the officers, we find that there was probable cause for Lenard's arrest for gun possession and liquor possession, and that it was reasonable for Argento and Sansone to have a good faith belief in the probable cause. We reverse the finding of malicious prosecution and the finding of conspiracy to obstruct justice dependent upon this prosecution."

699 F.2d at 885. Again, the lack of any malicious prosecution claim in *Lenard, supra*, would also defeat and eliminate the conspiracy allegations which were also made. In the instant

case, the allegations that Cross-Petitioners Bailey and Tarpening conspired to falsely arrest and imprison Mr. Robinson must also be dismissed because of the jury's finding that they did not commit any false arrest and imprisonment upon William Robinson.

Cross-Petitioners Bailey and Tarpening believe that the court below merely considered the claim of conspiracy, and the directed verdict on that Count, from the standpoint of whether reasonable people could have concluded that a conspiracy existed. However, the court below overlooked and misapprehended a more important substantive question of law as to whether a conspiracy to falsely arrest and imprison could even occur with a jury finding that Cross-Petitioners Bailey and Tarpening did *not* falsely arrest and imprison Mr. Robinson. Cross-Petitioners Bailey and Tarpening believe that this issue must be addressed by this Court so as to not allow the opinion of the court below to be inconsistent with fundamental principles of law that relate to civil conspiracy claims filed under 42 U.S.C. §1983. If this Court were to allow the issue of conspiracy to be remanded back to the trial court for further litigation, there would be an erroneous recognition that a conspiracy to falsely arrest and imprison could occur in the absence of any wrongful conduct or deprivation of those Constitutional rights. Cross-Petitioners suggest that the court in *Lenard, supra*, properly held that a conspiracy allegation was not proper when there was a finding that there was probable cause for the plaintiff's arrest in that case. In order to render the instant case consistent with conspiracy claims under 42 U.S.C. §1983, Cross-Petitioners seek certiorari review of the Court's opinion below.

CONCLUSION

WHEREFORE, Cross-Petitioners Officer Donald Bailey and Officer Timothy Tarpening submit that the Supreme Court should grant their Petition for Certiorari and reverse the opinion of the United States Court of Appeals for the

Sixth Circuit on the issue of conspiracy and affirm the district court's directed verdict in favor of Cross-Petitioners Bailey and Tarpening.

Respectfully submitted.

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Dated:

NOT FOR PUBLICATION

No. 84-1579

UNITED STATES COURT OF APPEALS
FOR THE SIXTH CIRCUIT

HELEN ROBINSON, Personal Representative of the Estate of WILLIAM ROBINSON, Deceased,
Plaintiff-Appellant,

v.

THE TOWNSHIP OF WATERFORD, WATERFORD TOWNSHIP POLICE, DEPARTMENT, WILLIAM STOKES, OFFICER BAILEY, OFFICER T. TARPENING, PETER DONLIN, WALTER BEDELL, THE COUNTY OF OAKLAND, OAKLAND COUNTY SHERIFFS DEPARTMENT, and JOHANNES SPREEN,
Defendants-Appellees.

ON APPEAL FROM THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF MICHIGAN

Decided and Filed _____

BEFORE: JONES, MILBURN, and BOGGS, Circuit Judges.

BOGGS, Circuit Judge. This case involves an action under 42 U.S.C. § 1983 against officials of Waterford Township and Oakland County for injuries arising from the arrest, imprisonment and subsequent death of William Robinson. Plaintiff-Appellant, Helen Robinson, as personal representative of her husband's estate, appeals various orders of the district court made during the course of the action granting summary judgment in favor of certain of the defendants before the case went to the jury. She also appeals the award of attorney fees granted certain of the defendants, various evidentiary rulings made during the course of the trial, and certain parts of the jury verdict as against the weight of the evidence. We reverse several of the district court's orders and remand to the district court for further proceedings in accordance with this opinion.

I

This case arises out of a tragic series of events which began over the Memorial Day week-end in 1980 at the Sears Department store in Pontiac Mall, located in Waterford Township, Oakland County, Michigan. William Robinson was a retired factory worker who suffered from Alzheimer's Disease, or Organic Brain Syndrome. He was forgetful and could not communicate easily. His urine and bowels were incontinent and he could not keep himself clean. As a result he exhibited a strong and noticeably offensive body odor. He frequently mumbled to himself and chewed on foreign objects. He spent most of his time wandering the streets and local shopping malls, particularly the Pontiac Mall. His strange behaviour and strong body odor attracted attention from shoppers, and did not make him popular with shopkeepers. Sometimes he would order coffee or food at a cafeteria and would not be able to pay for the purchase. The Waterford Township police were alerted on several occasions. Their usual response was to escort Mr. Robinson home, or to a local shelter such as the Pontiac Mission or the Salvation Army. On a few occasions, they merely transported him to the Waterford Township boundary and dropped him outside the city limits.

On Saturday, May 24, 1980, William Robinson wandered into the cafeteria inside the Sears store. According to the testimony of Gregory Palmer, a security guard at Sears, Mr. Robinson wandered into a restricted area of the store and was asked to leave. Later that day, he walked through the food service line at the Sears cafeteria, removing bread from sandwiches and putting it in his pockets. He got a cup of coffee and walked past the cashier without paying, although he asked several customers for money. He then sat down at a cafeteria table and poured sugar into his coffee and onto the table. At this point, the security guard, Mr. Palmer, requested that Mr. Robinson leave the store. When Mr. Robinson did not depart, Mr. Palmer called the Waterford Township Police.

Corporal Bailey of the Waterford Township Police Department dispatched Officer Timothy Tarpening with instructions

to arrest Mr. Robinson. Bailey testified that he made the decision to arrest Mr. Robinson because of the problems they had had with him all week, and because the police had more important things to do over the holiday week-end than to transport Robinson back and forth. He admitted that he knew of no warrant for Robinson's arrest, and Robinson has done nothing in his presence. Bailey stated that he thought that Robinson would get adequate care at the County Jail.

Officer Tarpening did arrest Mr. Robinson, charging him with harassment under §5.3 of Waterford Township Ordinance No. 87, which deals with various types of disorderly conduct. Mr. Robinson was taken to the Oakland County Jail. Shortly thereafter, Corporal Bailey sent a note to the judge to whom Robinson's case was assigned, asking him to sentence Robinson to the maximum 90-day sentence if he were found guilty. Mr. Robinson was arraigned before this judge on Tuesday, May 27, 1980, the day after Memorial Day. The record indicated that he did not understand why he was there or the nature of the charge against him. The judge set bail at $1,000.

Mr. Robinson remained in the Oakland County jail, awaiting trial on a charge with a maximum 90-day sentence, for 137 days. During this period, he received no medical treatment and was not bathed or showered. Despite requests to the police department, Mrs. Robinson did not find out that her husband was in jail for approximately 3 to 4 weeks after his arrest. Mr. Robinson eventually was found incompetent to stand trial. He then was hospitalized at a local hospital and later transerred to the Veteran's Administration Hospital in Battle Creek. He died there on October 15, 1981, after choking on some food.

Mrs. Robinson brought suit against the Township of Waterford, the Waterford Township Police Department, Chief of Police William Stokes, Officers Bailey and Tarpening, Waterford Township Prosecutors Peter Donlin and Walter Bedell, the County of Oakland, the Oakland County Sheriff's Department, and Sheriff Johannes Spreen (defendants). She accused

the defendants of acts and conspiracies allegedly resulting in the false arrest and imprisonment of Mr. Robinson, and in the injuries from lack of medical treatment and hygiene while in jail. She further claimed that the defendants violated Mr. Robinson's rights by maliciously initiating and continuing a criminal prosecution in the absence of probable cause. She requested compensatory and punitive damages for Mr. Robinson's injuries under 42 U.S.C. § 1983.

The defendants filed, and the court granted, a series of pre-trail motions to dismiss and for summary judgment, from which Mrs. Robinson now appeals. Oakland County's motion to dismiss the claim against the County was granted on August 8, 1982. On December 23, 1982, the district court granted a motion for summary judgment on behalf of Peter Donlin and Walter Bedell on the basis of prosecutorial immunity. The court granted summary judgment to the Waterford Township Police Department and Police Cheif William Stokes on April 11, 1983. The Waterford Township Disorderly Conduct Ordinance was held to be constitutional on September 21, 1983; and Waterford Township's motion for summary judgment was therefore granted on November 23, 1983.

The remaining defendants, namely Officers Bailey and Tarpening, the Oakland County Sheriff's Department and Sheriff Spreen, defended in a jury trial held in December 1983. On December 22, 1983, the district court granted Sheriff Spreen's motion for a directed verdict on the conspiracy charges, but denied the motion as to the alleged due process violations based on deliberate neglect and indifference. The court also directed a verdict for Corporal Bailey and Officer Tarpening on the issues of conspiracy, deliberate indifference, and the pendent state law claim of malicious prosecution, but not as to their liability for false arrest or imprisonment or punitive damages. The jury returned a verdict in favor of Corporal Bailey and Officer Tarpening, but found Sheriff Spreen liable for $300,000 in compensatory damages and $400,000 in punitive damages. Mrs. Robinson accepted a remittitur of $300,000, which was ordered

by the district court, rather than pursuing a new trial. Judgment was entered on January 23, 1985. The sheriff did not appeal. The district court awarded attorney fees of $13,333.50 to prevailing defendants Waterford Township Prosecutors Peter Donlin and Walter Bedell and Waterford Township under 42 U.S.C. § 1983.

II

Mrs. Robinson first appeals the district court's holding that Waterford Township Ordinance No. 87, § 5.3 was not unconstitutionally vague as applied to Mr. Robinson's conduct. Section 5 of the Disorderly Conduct Ordinance prohibits various types of harassing conduct as follows:

> 5.0 HARRASSMENT [sic]. A person commits the offence of harrassment[sic] if, with intent to harass, annoy or alarm another person he or she:
>
> 5.1 Strikes, shoves, kicks or otherwise touches a person or subjects him or her to physical contact.
>
> 5.2 Follows a person in or about a public place or places.
>
> 5.3 Engages in a course of conduct or repeatedly commits acts that alarm or seriously annoy another person, and which acts or conduct serve no legitimate purpose.
>
> 5.4 Contributes to the delinquency of a minor by any act, or by any word, shall contribute toward, cause or tend to cause any minor child under the age of seventeen (17) to become delinquent or neglected so as to come under the Juvenile Division of the Probate Court, whether or not such child shall in fact be adjudicated a ward of the Probate Court.

Mr. Robinson was charged under § 5.3 of the ordinance.

The district court held that this ordinance was not unconstitutionally vague or overbroad in an opinion issued from

the bench on September 21, 1983. The court distinguished this ordinance from the ordinance before the Supreme Court in *Coates v. City of Cincinnati*, 402 U.S. 611 (1971), which made it a crime for "three or more persons to assemble . . . on any of the sidewalks . . . and there conduct themselves in a manner annoying to persons passing by . . ." The Supreme Court held that the Cincinnati ordinance was "unconstitutionally vague because it subjects the exercise of the right of assembly to an unascertainable standard, and unconstitutionally broad because it authorizes the punishment of constitutionally protected conduct." *Id.* at 614. The district court found that § 5.3 of the Waterford Township ordinance was distinguishable because of the requirements that the conduct be repeated, that it be intentional, and that it not be for any legitimate purpose.

A

We agree with the district court that the ordinance is not unconstitutionally overbroad because it does not reach constitutionally protected conduct. The ordinance is not applicable to speech or other exercise of first amendment rights, for such activities would come within exception for serving a legitimate purpose.

However, our conclusion that the ordinance is not overbroad does not resolve the question of its vagueness. Vagueness and overbreadth analyses are often inextricably interwoven, particularly in first amendment jurisprudence; however, they remain logically independent doctrines. Stone, Seidman, Sunstein & Tushnet, *Constitutional Law* 1043 (1986).

B

The Supreme Court has established a dual rationale for the void-for-vagueness doctrine of the due process clause:

> A penal statute [must] define the criminal offense with sufficient definiteness that ordinary people can understand

> what conduct is prohibited and in a manner that does not encourage arbitrary and discriminatory enforcement.

Kolender v. Lawson, 461 U.S. 352, 357 (1983). Fundamental fairness requires that persons of common intelligence not be compelled to guess at their peril whether or not their conduct may fall within the reach of a criminal statute. *Papachristou v. City of Jacksonville*, 405 U.S. 156, 162 (1972); *Connally v. General Construction Co.*, 269 U.S. 385, 391 (1926). Criminal statutes must draw reasonably clear lines between behaviour which is forbidden and that which is not. *Smith v. Goguen*, 415 U.S. 566, 574 (1974).

The requirement that criminal statutes delineate clear standards of behaviour serves two purposes: it provides fair warning to citizens of the consequences of their conduct; and it also prevents arbitrary or discriminatory enforcement of the criminal law. The Supreme Court has recognized

> that the more important aspect of the vagueness doctrine "is not actual notice, but the other principal element of the doctrine — the requirement that a legislature establish minimal guidelines to govern law enforcement." Where the legislature fails to provide such minimal guidelines, a criminal statute may permit "a standardless sweep [that] allows policemen, prosecutors, and juries to pursue their personal predilictions."

Kolender, 461 U.S. at 35 (citations omitted).

We agree with the district court's determination that § 5.3 of the Waterford Township Disorderly Conduct Ordinance is constitutional under both rationales supporting the void-for-vagueness doctrine. The ordinance gave a reasonable person fair notice that his conduct might fall within the reach of the statute,[1] and did not allow the Waterford Township Police to enforce the ordinance against him in an arbitrary manner.

---

[1] Because of Mr. Robinson's condition, he may well have been incapable of forming an intent or comprehending what conduct of his might violate a statute. However, those matters go to an individual defense, and do not invalidate the statute on its face.

It is true that the ordinance is not overly specific regarding what conduct is proscribed. The ordinance makes it a crime to "engage in a course of conduct or repeatedly commit acts that alarm or seriously annoy another person . . ." The Supreme Court held the disorderly conduct statute in *Coates*, 402 U.S. 611, unconstitutionally vague because the word "annoy" was the only word used to define or limit the offense. The Court rejected the Ohio Supreme Court's reliance on the well-understood dictionary definition of the word. It held the statute vague because:

> Conduct that annoys some people does not annoy others. Thus, the ordinance is vague, not in the sense that it requires a person to conform his conduct to an imprecise but comprehensive normative standard, but rather in the sense that no standard of conduct is specified at all. As a result, men of common intelligence must necessarily guess as its meaning.

402 U.S. at 614. Neither Waterford Township nor the Michigan state courts has made any effort to construe the terms "alarm" or "annoy" in such a manner as to limit their inherent vagueness. Conduct which "seriously annoys" is no less vague a concept than conduct which "annoys."

In addition, the ordinance is not limited to a specific type of conduct or to conduct which takes place within a particular context, either of which limitation might help to lessen its vagueness. For example, the Supreme Court upheld an anti-noise ordinance, stating that "no person . . . adjacent to . . . a school . . . in session . . . shall willfully make . . . any noise or diversion which disturbs or tends to disturb the peace or good order of such school session . . ." against a vagueness challenge in *Grayned v. City of Rockford*, 408 U.S. 104 (1971). The Court held that the ordinance was sufficiently precise because the conduct was prohibited at a fixed place at fixed times, finding that "given this 'particular context,' the ordinance gives 'fair notice to whom [it] is directed." *Id.* at 112. Similarly, the Fifth Circuit has upheld a federal statute, 18 U.S.C. § 112, specifically prohibiting harassment of foreign

officials because the statute delineated a particular context. *CISPES v. F.B.I.*, 770 F.2d 468, 476-77 (5th Cir. 1985).

However, Waterford Township argues, and we agree, that the ordinance is saved from a vagueness challenge because of the specific intent requirement. The Supreme Court repeatedly has recognized that a scienter requirement may save a statute that might otherwise be unconstitutionally vague. *Boyce Motor Lines v. United States*, 342 U.S. 342 (1951); *Screws v. United States*, 325 U.S. 91, 101–103 (1945). We have held that "the effect of the scienter standard on the vagueness . . . of a statute must be examined on a case-by-case basis in the context of the particular statute at issue." *Record Revolution No. 6, Inc. v. City of Parma*, 638 F.2d 916, 934 (6th Cir. 1980), *vacated and remanded on other grounds*, 456 U.S. 968 (1982).

The intent requirement in the Waterford Township ordinance saves the ordinance from a vagueness challenge. In *Screws v. United States*, 325 U.S. 91, 103 (1945), the Supreme Court clearly stated a "requirement of a specific intent to [violate a statute] saves the Act from any charge of unconstitutionality on the grounds of vagueness." This court has recently followed that rule, stating that an "explicit intent requirement saves [a] statute from [a] claim of vagueness." *United States v. 57,261 Items of Drug Paraphenalia*, 869 F.2d 955, 957 (6th Cir. 1989). That case also notes that *Record Revolution* is no longer good law on vagueness. *Ibid.* (citing *Village of Hoffman Estates v. The Flipside*, 455 U.S. 489 (1982)). Further, other courts have noted that "[t] the Supreme Court has long recognized that the constitutionality of a vague statutory standard is closely related to whether that standard incorporates a requirement of *mens rea*," or intent. *United States v. Stewart*, 872 F.2d 957, 959 (10th Cir. 1989).

The ordinance challenged here contains an explicit requirement of a specific intent to annoy others. The only case striking down a disorderly conduct statute despite a clear intent requirement is *Kramer v. Price*, 712 F.2d 174 (5th Cir. 1983), which illustrates quite well the faults of such an

approach. In that case, a panel of the 5th Circuit held, 2-1, that a statute quite similar to the Waterford Township ordinance was unconstitutional on its face. Thus, the panel prevented the prosecution of a women who mailed to the wife of her ex-boyfriend, newly arrived home from the hospital with their new baby, a solicitation announcing: "Baby Problem Solved! — with this beautiful ALL METAL CASKET-VAULT COMBINATION CRYPT a CRIB."

Despite the outrageous and despicable nature of this conduct, the majority held that any use of words such as "harass or annoy" was inherently vague, as not specifying the sensitivity by which "annoy" could be measured. Judge Rubin, in a well-reasoned dissent, pointed out the importance of an intent requirement, as laid out in the cases cited above:

> What is unlawful is not a communication that *might* offend any of the myriad of persons who passes, regardless of the communicator's purpose; the statute limits unlawful conduct to what not only "may" but is also intended to annoy . . ."

Id., at 180.

The panel opinion subsequently was vacated, and an ensuing *en banc* decision, 723 F.2d 1164 (5th Cir. 1984), upheld the lower court's dismissal of the action against the mailer, "but without approving or adopting its rationale." In keeping with Supreme Court precedent, as well as prior case law in this and other jurisdictions, and Judge Rubin's opinion, we hold that section 5.3 of the Waterford Township ordinance is not unconstitutional on its face. Accordingly, we affirm the decision of the district court.[2]

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[2] Mrs. Robinson made a separate argument for municipal liability based on inadequate training and supervision of the police officers. The jury, however, found that officers had acted properly. Because we conclude that the jury verdict was not against the great weight of the evidence, we need not reach the issue of whether the Township could be held liable under the Supreme Court's recent decision in *City of Canaton, Ohio v. Harris*, 57 U.S.L.W. 4270 (U.S. Feb. 28, 1989), for a failure to train the officers.

III

The district court granted summary judgment in favor of Oakland County, holding that the county could not be vicariously liable for the abuses of the sheriff's department. However, this decision was reached before publication of our opinion in *Marchese v. Lucas*, 758 F.2d 181 (6th Cir. 1985), *cert. denied*, 107 S.Ct. 1369 (1987). *Accord Dorsey v. City of Detroit*, 858 F.2d 338 (6th Cir. 1988); *Parker v. Williams*, 855 F.2d 763 (6th Cir. 1988). In *Marchese*, we held Wayne County liable for the actions of its sheriff's department because the sheriff makes police policy for the county even though under the Michigan constitution the county cannot control the sheriff. *Marchese, supra*. However, Oakland County and plaintiff reached a settlement resulting in an agreed dismissal of the appeal against Oakland County. Thus, we have no occasion to consider this issue further.

IV

The district court granted summary judgment to town prosecutors Peter Donlin and Walter Bedell, holding that they enjoyed absolute immunity from prosecution. Prosecutors have absolute immunity for actions carried out in their role as advocates, but not necessarily for actions which are purely administrative in nature. *Imbler v. Pachtman*, 424 U.S. 409 (1976). Mrs. Robinson argues that the prosecutors' failure to bring Mr. Robinson to trial or release him after 90 days was an administrative decision for which Donlin and Bedell should not enjoy immunity.

We agree with the district court that scheduling cases is part of the prosecutor's role as advocate. "The determination as to when the state is ready to proceed in the trial of a given case is one in which the prosecutor must be free to exercise . . . unfettered discretion." *Cribb v. Pelham*, 552 F.Supp. 1217 (D.S.C. 1982). *Accord Joseph v. Patterson*, 795 F.2d 549 (6th Cir. 1986); *Andree v. Ashland County*, 818

F.2d 1306 (7th Cir. 1987). Thus, we hold that the prosecutors enjoy absolute immunity under *Imbler* with respect to the scheduling of cases and therefore affirm the order of the district court.

V

A

Mrs. Robinson charged in her complaint before the district court that a conspiracy existed between Corporal Bailey, Officer Tarpening and the municipal judge to deprive Mrs. Robinson of his constitutional rights by arresting him without probable cause and confining him as long as possible. Mr. Robinson argued that evidence of the conspiracy was found in Corporal Bailey's instructions to Officer Tarpening to arrest Mr. Robinson before he had been to the scene, Officer Tarpening's carrying out of those instructions despite the fact that no misdemeanor was committed in his presence, Corporal Bailey's note to the judge requesting the maximum sentence, the judge's high bail, and the inordinate length of time Mr. Robinson spent in jail before being found incompetent to stand trial.

The district court would not allow the issue of conspiracy go the jury, holding that it would lead to the "rankest speculation" on the jury's part. Instead, the court granted a motion for a directed verdict on behalf of Corporal Bailey and Officer Tarpening on this issue.

In considering a motion for a directed verdict, this court must view the evidence in a light most favorable to the party against whom the motion is made, and decide whether reasonable people could come to only one conclusion based on the evidence. *Davis v. Sears, Roebuck and Co.*, 873 F.2d 888, 867 (6th Cir. 1989); *Coffy v. Multi-County Narcotics Branch*, 600 F.2d 570, 579 (6th Cir., 1979). Viewing the evidence presented in the record in the light most favorable to Mrs. Robinson, we hold that reasonable people could have

concluded that a conspiracy existed. We agree with the reasoning of the Seventh Circuit that:

> In order to prove the existence of a civil conspiracy, a plaintiff is not required to provide direct evidence of the agreement between the conspirators, "[c]ircumstantial evidence may provide adequate proof of conspiracy,. . . ." Thus the question whether an agreement exists should not be taken from the jury in a civil conspiracy case so long as there is a possibility that the jury can "infer from the circumstances [that the alleged conspirators] had a 'meeting of the minds' and thus reached an understanding" to achieve the conspiracy's objectives.

Hampton v. Hanrahan, 600 F.2d 600, 620 (7th Cir. 1979).

We therefore reverse the district court's directed verdict in favor of Officers Bailey and Tarpening and remand to allow the issue of conspiracy to be presented to a jury.

B

The jury returned a verdict in favor of Officers Bailey and Tarpening on Mrs. Robinson's claims of false arrest and imprisonment. Mrs. Robinson argues that the jury verdict is against the great weight of the evidence and should be overturned. A jury verdict must be upheld unless it is against the weight of the evidence. *United States of America v. Jerkins*, 871 F.2d 598, 606 (6th Cir. 1989). Based on our examination of the record, we conclude that the jury verdict was not against the great weight of the evidence and accordingly will not be disturbed.[3]

---

[3] The district court allowed testimony from the Sears security guard, Gregory Palmer, and from another security guard, Opal Bledsow, as to previous disruptive incidents with Mr. Robinson. Mrs. Robinson argues that the evidence of similar bad acts should not have been admitted in that it was offered to prove Mr. Robinson's

C

The district court directed a verdict in favor of Officers Bailey and Tarpening on the pendent state law claim of malicious prosecution. The directed verdict on this issue must stand. Plaintiff has not met one of the requisite elements of a malicious prosecution claim, namely that the proceedings must terminate in favor of the accused. *Fort Wayne Mortgage Company v. Carletos*, 95 Mich. App. 752, 757 (1980). A federal court is not the proper forum to seek an exception to this well-established rule of state law.

VI

The district court award attorney fees under § 1988 to prevailing defendants town prosecutors Peter Donlin and Walter Bedell. The court also awarded attorney fees to Waterford Township for defending the claim based on the failure to train, but not for its defense of the constitutionality of the ordinance.

The decision to award attorney fees is committed to the discretion of the trial judge. 42 U.S.C. § 1988; *Christiansburg Garment Co. v. EEOC*, 434 U.S. 412, 424 (1978); *Tarter v. Raybudk*, 742 F.2d 977, 986 (1984). The standard of appellate review of a district court's award of attorney fees to a prevailing party under § 1988 is whether the trial court abused its discretion in making or denying the award. *Tarter*, 742 F.2d at 986.

In *Christiansburg*, 434 U.S. 412, the Supreme Court set out the standard basis for awarding attorney fees to prevailing defendants in civil rights cases. The Court stated that "a plaintiff should not be assessed his opponent's attorney's

---

bad character. We agree with the district court that the prior act evidence was properly admissible under Fed. R. Evid. 404(b) not to prove character but to prove that Mr. Robinson in fact violated the ordinance by *repeatedly* committing annoying acts.

fees unless a court finds that his claim was frivolous, unreasonable, or groundless, or that the plaintiff continued to litigate after it clearly became so." *Id.* at 422. This test should be applied strictly in favor of plaintiffs, and attorney fees should not be too frequently awarded to prevailing defendants in light of the overriding congressional policy of encouraging vindication of rights. *Smith v. Smythe-Cramer*, 754 F.2d 180, 184 (6th Cir. 1986).

Accordingly, we hold that the district court abused its discretion in granting attorney fees to the prevailing defendants in this case. The district court must not engage in "post hoc reasoning" by concluding that a plaintiff's suit was frivolous merely because she ultimately does not prevail on the merits. *Christiansburg*, 434 U.S. at 421-22; *Smith* 754 F.2d at 183.

There can be no doubt that the issues raised in this case were not raised frivolously. For example, although Mrs. Robinson's argument that case scheduling was an administrative function not entitled to prosecutorial immunity did not ultimately prevail, it was not an unreasonable or frivolous argument. The issue had not been finally settled in any circuit by unambiguouos case law, and had not been considered at all within our circuit. Likewise, the attorney fees awarded to Waterford Township for defending the claim of municipal liability cannot stand. The record does not suppport the trial court's conclusion that this claim was frivolous. Although many years have passed since the Supreme Court held that municipalities could be sued as "persons" within the meaning of § 1983 in *Monell v. New York City Department of Social Services*, 436 U.S. 658 (19789), municipal liability under § 1983 is still an extremely unsettled area of the law. There is case law supporting Mrs. Robinson's argument that some types of inadequate training or supervision can rise to a level sufficient to support § 1983 liability. *City of Canton, Ohio v. Harris*, 57 U.S.L.W. 4720 (U.S. Feb. 28, 1989); *Turpin v. Mailet*, 619 F.2d 1242 (2d Cir. 1980).

Thus, we conclude that the district court applied the *Christiansburg* standards incorrectly and abused its discretion in awarding attorney fees for defendants. Accordingly, the order of the district court is REVERSED.

VII

The judgment of the district court is AFFIRMED in part and REVERSED in part. The case is REMANDED to the district court for further proceedings in conformity with this opinion.

ISSUED AS MANDATE: September 29, 1989

COSTS: NONE

NO. 84-1579

UNITED STATES COURT OF APPEALS
FOR THE SIXTH CIRCUIT

HELEN ROBINSON,
Plaintiff-Appellant

vs. ORDER

TOWNSHIP OF WATERFORD, ET AL.,
Defendants-Appellees

This matter is before the court upon consideration of petitions for rehearing filed separately by the appellant and the appellees of this court's August 18, 1989 opinion affirming in part, reversing in part and remanding the decision of the district court.

This court having carefully examined the petitions and the record finds it misapprehended no question of law or fact in its August 18, 1989 opinion.

It is therefore ORDERED that the petitions be, and they hereby are, denied.

ENTERED BY ORDER OF THE COURT
Leonard Green, Clerk

LEONARD GREEN

FILED
MAR 13 1990
JOSEPH F. SAPNIOL, JR.
CLERK

No. 89-948

In The
Supreme Court of the United States
October Term, 1989

HELEN ROBINSON,
Petitioner,

vs.

THE TOWNSHIP OF WATERFORD, OFFICER DONALD BAILEY, OFFICER TIMOTHY TARPENING, PETER DONLIN, and WALTER BEDELL,
Cross-Petitioners.

BRIEF IN OPPOSITION TO CROSS PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE SIXTH CIRCUIT

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BRIEF IN OPPOSITION TO CROSS PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE SIXTH CIRCUIT

STATUTE INVOLVED

42 USC § 1983 provides:

§ 1983. Civil Action for Deprivation of Rights.

Every person who, under color of any statute, ordinance, regulation, custom, or usage, of any State or Territory or the District of Columbia, subjects, or causes to be subjected, any citizen of the United States or other person within the jurisdiction thereof to the deprivation of any rights, privileges, or immunities secured by the Constitution and laws, shall be liable to the party injured in an action at law, suit in equity, or other proper proceeding for redress. For the purposes of this section, any Act of Congress applicable exclusively to the District of Columbia shall be considered to be a statute of the District of Columbia.

STATEMENT OF THE CASE

This case arises out of a tragic series of events which began over the Memorial Day weekend in 1980 at the Sears Department store in the Pontiac Mall, located in the Township of Waterford, Oakland County, Michigan.

Cross-respondent's decedent, William Robinson, was a retired factory worker who suffered from Alzheimer's Disease, a degenerative condition which causes the brain to atrophy, and with its progression, robs its victim of most intellectual functions. Due to his condition, Mr. Robinson, was forgetful, unable to communicate in any meaningful manner, was incontinent of urine and bowel, was unable to clean himself and tended to frequently wander from home, despite efforts to restrict his movements by his family. As a result, he was extremely odoriferous, frequently seen chewing paper and other foreign objects and often mumbled to himself.

During those occasions that he wandered from home, Mr. Robinson frequently journeyed to the Pontiac Mall. His strong odor and peculiar behavior attracted attention from shoppers; and as a consequence, he was unpopular with mall shopkeepers.

Several times prior to the incident underlying this action, Waterford Township Police officers were called to the mall to remove Mr. Robinson from the premises. On those occasions the Township officers transported Mr. Robinson to his home, to the Pontiac Mission or Salvation Army shelter or on some occasions he was simply taken to the Waterford Township boundary and left outside of the Township limits. These practices, constitutionally questionable in and of themselves, made Mr. Robinson fairly well-known by officers of the Township Police Department, particularly Defendant Donald Bailey.

On Saturday, May 24, 1980, Mr. Robinson again wandered to the Sears Department store located on the mall premises. Defendant Bailey received a telephone call at the Township Police Station from Gregory Palmer, a Sears secu-

rity employee, advising that Mr. Robinson was again in the store. No activity or conduct attributed to Mr. Robinson was described during this conversation. Although no illegal activity had been described and Bailey himself had not observed Mr. Robinson commit any crime (indeed Bailey never saw Mr. Robinson on any occasion, but had only heard of him through other officers after their contacts with him as described above), Bailey, then a corporal, contacted Defendant Timothy Tarpening, a patrol officer, by radio and ordered that Mr. Robinson be arrested. There was no indication from Bailey during this communication as to the reason for Robinson's arrest.

Bailey later testified in this action, admitting that he was unaware of any law that Mr. Robinson had purportedly violated at the time he gave the order for the decedent's arrest. He further admitted that the sole reason the decedent's arrest was ordered was that with the holiday weekend in progress, he wanted the decedent "out of the eyes of the general public".

Consistent with this instruction, Defendant Tarpening arrived at the Sears store, located the decedent and placed him under arrest. In his report, prepared immediately after Robinson's arrest, Tarpening wrote:

> Investigation: I was dispatched to Sears to arrest William Robinson for loitering. WTPD has received numerous calls about the subject loitering at Sears, Hudsons and Pont. Mall in general. Mr. Robinson has been warned and transported home numerous times in the past. Subject was taken to O.C.J. and lodged. Due to his personal hygiene Robinson was not booked at this time. Robinson was arrested as he was wandering around in Sears. Disposition open advised by Cpl. Bailey to make arrest.

Tarpening would later testify in this matter offering at least three different and sharply conflicting accounts of his contact with Robinson at the store. However, none of these

accounts describe any activities or conduct attributable to Robinson which is evenly arguably illegal.

Mr. Robinson was charged with violation of Section 5.3 of Waterford Township Ordinance 87, entitled "Disorderly Conduct Ordinance". Section 5 of this ordinance states in its totality (including 5.3) the following:

> 5.0 **HARASSMENT**. A person commits the offense of harassment if, with intent to harass, annoy or alarm another person, he or she:
>
> 5.1 Strikes, shoves, kicks or otherwise touches a person or subjects him or her to physical contact.
>
> 5.2 Follows a person in or about a public place or places.
>
> 5.3 Engages in a course of conduct or repeatedly commits acts that alarm or seriously annoy another person, and which acts of conduct serve no legitimate purpose.
>
> 5.4 Contributes to the delinquency of a minor by any act, or by any word, shall contribute toward, cause or tend to cause any minor child under the age of seventeen (17) years to become delinquent or neglected so as to come under the Juvenile Division of the Probate Court, whether or not such child shall in fact be adjudicated a ward of the Probate Court. (Emphasis added).

The maximum penalty permitted by the ordinance was $500.00 fine and/or 90 days in jail.

After Robinson's arrest, the customary complaint, police report and other documents were forwarded to the 51st District Court and the City Attorney's Office to commence the prosecution. Defendant Bailey, however, admitted sending a note to the presiding judge in an admitted effort to influence the Judge's handling of the matter. The note read as follows:

> Judge
>
> This man has been a pain to our dept for the last week and a half (sic) We have given him rides to the city, just to get him out of the twp. If he plea's (sic)

guilty could you given him 90 days.

Thanks

Cpl Bailey

Bailey would testify, both in deposition and at trial that the reason for ordering the decedent's arrest as well as writing the judge the note was to attempt to keep Mr. Robinson off the street for as long as possible. The success of this effort is most readily apparent.

First, the decedent was arrested and charged with having violated a township ordinance, a misdemeanor offense. He was arrested on a Saturday, thus no judge or magistrate was available for immediate arraignment. Defendants Bailey and Tarpening ignored a Michigan Statutory provision, which required them under those circumstances to either release the decedent on his own recognizance or to set a bond, not to exceed $100.00 (MCLA 780.581). No bond was set and decedent was not otherwise released, of course, because the defendants had made a decision to keep the decedent off of the street, regardless of the law, which dictated otherwise.

Secondly, the District Court Judge conducted a pretextual arraignment of the decedent. In his deposition and trial testimony. the judge conceded that an arraignment was not merely ceremonial, but rather is intended to apprise the criminal defendant of the nature and consequences of the crime with which he is charged, and to elicit a knowing and voluntary plea from that defendant. The judge went on to admit that the purposes of an arraignment are not fulfilled when it is clear that the defendant does not understand what is happening during the hearing.

After eliciting this portion of the judge's testimony, the lower Court received a copy of the transcript of the decedent's arraignment into evidence. The most cursory review of the transcript reveals that the decedent was confused, disoriented and incoherent, totally unaware of where he was, why he was there, or who was addressing him. Despite the fact that the decedent was clearly incapable of understand-

ing the nature of the proceedings, and was further obviously incapable of tending to his personal needs, the judge entered a plea of not guilty for him and set bond at $1,000.00 cash or surety.

Although decedent's home address and telephone number was available, the Court made no attempt, nor did it direct the arresting officer to attempt to reach any family members of this undeniably helpless man.

The third indication of a successful conspiracy is found in the bond set by the Court. The bond was not only grossly excessive, it was also set contrary to law.

The maximum fine for violation of the ordinance, as the decedent was charged was $500.00. Jail time was also a possible penalty upon conviction. Pursuant to MCLA 600.872 bond could be set at double the maximum fine only when the maximum penalty is a fine only. Since jail time was a possible penalty, it was impermissible to set bond in excess of the maximum possible fine amount.

More significantly, however, is the fact that the bond was $1,000.00 cash or surety. Pursuant to the Michigan District Court Rules (DCR 790), any bond set in a misdemeanor prosecution requires only 10% of the bond to be posted in order to gain release from jail. This deviation from the statute reflects an affirmative intent to accede to the wishes of the defendants, being to keep the decedent locked up for as long as possible. In further support of this theory, Plaintiff subpoenaed every court file assigned to the District Court Judge who handled the Robinson prosecution, within 30 days before or after the decedent's arraignment. Nineteen files were produced. Plaintiff offered those files into evidence, but the trial Court refused to admit them. The files reflected, however, that bond in 18 of the files was set at $100.00/10% (thus releasing those defendants upon posting $10.00), and one file in which bond was set at $500.00/10% ($50.00 posted for release).

Clearly, bond having been set at $1,000.00 cash or surety in the decedent's case represented a deviation from the law and the judge's usual practice. This deviation, we submit, is directly related to the conspiratorial efforts of the defendants. Indeed, if not protected by absolute immunity from suit, the judge would have been named as a defendant in this action, with respect to the allegations of conspiracy.

Fourth, the Michigan General Court Rules provides that a misdemeanor defendant, unable to post bond while awaiting trial, must be released from jail on his own recognizance after 30 days' incarceration. (GCR 789.2) Although the Court conducted no less than three hearings related to the decedent following the expiration of the thirty day period, he was never released.

The fifth indication of the impact of a conspiracy is the fact that the decedent was incarcerated without trial for 137 days. The maximum jail time permitted by the ordinance was 90 days. Thus, the decedent was incarcerated for 47 days longer than permitted by law, had he been convicted, while he still enjoyed the presumption of innocence. The Court conducted at least one hearing related to the decedent's case during the 47 day period beyond the maximum penalty, but nonetheless failed to order him released. No attempt was ever made to set a trial date. No attempt was ever made to reduce the decedent's bond. No attempt was ever made to seek medical assistance for a man obviously incapable of helping himself.

Indeed, had the decedent not become critically ill, which resulted in his transfer from jail to a nearby hospital, there is no way of knowing how much longer he would have remained in jail while awaiting a trial.

In addition to the circumstantial evidence which indicated that the District Court Judge acted to delay the decedent's release, as requested in the Bailey note, there are other indications of the conspiratorial effort to preclude his release.

After Mr. Robinson failed to return home on the evening of May 24, 1980, his wife, the Petitioner herein, became alarmed and contacted several police agencies in an effort to locate her husband. This included numerous calls to the Waterford Police Department and the Oakland County Sheriff's Department which operated the Oakland County Jail. Each time contacted, officers with these departments denied any knowledge of the decedent or his whereabouts. These denials were forthcoming despite the fact that both agencies had records which reflected Robinson's arrest and his incarceration at the Oakland County Jail. Clearly, by denying any knowledge of his whereabouts, the decedent's family members were foreclosed from making any effort to obtain his release.

Mrs. Robinson did not learn of her husband's whereabouts for approximately five weeks after his arrest. She was informed of his detention by the minister of her church after he saw Robinson during an unrelated visit to the jail.

This action was commenced on May 6, 1982. The Complaint, insofar as it is pertinent to the cross-petitioners hereto, alleged causes of action under 42 USC § 1983 for the unlawful arrest, imprisonment and prosecution of the decedent in violation of his rights protected by the fourth, fifth, and fourteenth amendments to the United States Constitution, their deliberate indifference to the obvious medical needs of the decedent in violation of his fifth and fourteenth amendment rights and a conspiracy on the part of the individual respondents to deprive the decedent of his right to a speedy trial before a fair and impartial tribunal.

Several state law claims, which for the most part, correspond to the claimed constitutional torts were also alleged.

The Respondent also alleged claims against the Township of Waterford under § 1983. In addition to claims for failure to properly train, supervise and discipline, she also challenged the constitutionality of Section 5.3 of the Waterford

Ordinance. Respondent now seeks certiorari in her presently pending petition on these and other claims.

On September 23, 1983, the trial court entered its order granting the Defendant Township's Motion for Partial Summary Judgment and denying the Plaintiff's Motion for Partial Summary Judgment. These cross motions addressed the constitutionality of the ordinance.

On December 22, 1983, the trial court entered its order granting in part and denying in part the Motion for Directed Verdict brought on behalf of the Defendants Tarpening and Bailey. Insofar as the motion was granted, the Court directed a verdict in favor of these defendants on the allegation of conspiracy and on the allegation of the deliberate indifference to the decedent's medical needs, and Plaintiff's claim for malicious prosecution.

With respect to the directed verdict on the issue of malicious prosecution, the Court accepted the Defendants' argument that under Michigan decisions, malicious prosecution claims were precluded without a disposition on the merits of the criminal case in favor of the accused. There was never any disposition on the merits of the decedent's criminal case as it remained pending at the time of his death. This doctrine has been fashioned by the Michigan Courts, clearly in an effort to prevent malicious prosecution claims by criminal defendants who avoid trials as a result of procedural rulings which require dismissals, but do not address the issue of guilt or innocence. The Michigan Statute which creates a cause of action for malicious prosecution, imposes no such limitation on the availability of the action to a criminal defendant. (See MCLA 600.2907)

By accepting these arguments, the trial court rejected Plaintiff's arguments that the Michigan Courts, in fashioning the doctrine, had not envisioned a scenario where there was no disposition at all in the underlying criminal action due to the affirmative and successful efforts of the arresting

officers to delay the progress of the prosecution and maintain custody of the accused throughout its pendency.

On appeal, the Court of Appeals declined to address the merits of this argument by simply stating that a federal court was not a proper forum in which to seek an exception to a longstanding state law doctrine. This issue is raised in the Plaintiff's (respondent hereto) petition for certiorari presently pending before this Honorable Court.

On January 11, 1984, the Court entered its Judgment on the Verdict which adopted the jury's verdict of no cause of action as it relates to the claims against Defendants Tarpening and Bailey.

The Court of Appeals affirmed the holding relative to the constitutionality of the ordinance, the judgment of no cause of action in favor of Tarpening and Bailey on the issues of the arrest, imprisonment and prosecution of the decedent, but reversed the directed verdict on the issue of conspiracy.

The Court of Appeals also reversed the grant of attorney fees under 42 US § 1988 in favor of the Defendants Township, Donlin and Bedell.

The Court denied cross motions for rehearing.

On October 23, 1989 Plaintiff filed her petition for certiorari which is now pending in this Honorable Court.

On November 22, 1989, these Defendants filed the instant cross-petition for certiorari.

THE REASONS WHY CERTIORARI SHOULD NOT BE GRANTED

I.

CERTIORARI SHOULD NOT BE GRANTED BECAUSE IT IS NOT INCONSISTENT WITH THE FACTS OF THIS CASE FOR PLAINTIFF (RESPONDENT) TO PREVAIL ON THIS CLAIM EVEN IF THE VERDICTS IN FAVOR OF THE DEFENDANT WERE LEFT UNDISTURBED

Although it is true that Plaintiff has always contended that the arrest and imprisonment of the decedent was illegal, and that the conspiracy of the defendants began with the arrest, it does not follow, as the defendants urge, that with the jury's finding that there was no illegal arrest or imprisonment and the Court's decision to direct a verdict on the malicious prosecution claim, that no cognizable right exists for which the conspiracy may relate. To the contrary, several rights of the decedent were violated as a result of the conspiracy, and those rights are entirely independent of any claims which challenge the legality of the arrest and imprisonment or the malicious intent in the commencement of criminal proceedings.

We need go no further than the admitted intent of Defendant Bailey in writing the note to the District Court Judge. At trial, Defendant Bailey was questioned about his reasons for writing a note to the District Court Judge. We find the following at page 26 of the transcript:

> Q: And the purpose for that communication (the note) was to make sure that once you had gotten Mr. Robinson off the street, that he was off for as long as he could possibly be off the street; isn't that correct?
>
> A: Yes, sir.

It is clear that Defendant Bailey was eliciting support from the judge in his effort to maintain custody of the decedent

for as long as possible. It is evident that this effort was successful, and equally evident that a number of the decedent's rights were deprived of him in the process.

The Eighth Amendment to the Constitution prohibits excessive bail. Yet we submit that given the nature of the offense, the Michigan statutory provisions governing bail for misdemeanor offenses (MCLA 780.581 and 600.872), and the District Court Judge's usual practice, the bond set in this matter was excessive and contrary to law. A jury could readily find that a conspiracy existed between the defendants and the judge to utilize every means available to unlawfully prolong the decedent's incarceration. The jury could also find that the bond amount set by the Judge was excessive and in furtherance of this conspiratorial goal. These findings, if made, do not go to the issue of the propriety of the arrest or imprisonment, but rather to rights secured by the Constitution, regardless of that issue.

Similarly, the continued retention of the decedent beyond 30 days, contrary to Michigan law and then beyond 90 days, also contrary to Michigan law as well as the Township Ordinance, was a denial of due process which is protected by the Fifth Amendment. A jury could find that this violation of the decedent's rights was in furtherance of the conspiracy, and this finding would be independent of the issue of the propriety of the arrest and imprisonment.

Likewise, the Sixth Amendment guarantees all accused a right to a speedy trial. As previously stated, no trial date was set at any time during or after the decedent's 137 day stay at the Oakland County Jail. A jury could easily find that this delay, while custody was maintained was in furtherance of the conspiracy and the right abridged would not be affected one way or the other by the question of the propriety of the offense.

Fundamental to any consideration of Fifth Amendment due process, is its guarantee that an accused will be brought before a fair and impartial tribunal. Defendants obviously

sought to circumvent this protection when they attempted to influence the presiding judge in an ex-parte communication. This effort appears to have been successful and clearly within a jury's province to so find. If found, this too would not depend upon the legality of the arrest.

Consequently, we do not quarrel with the cross-petitioners when they state that a claim for conspiracy under 42 USC § 1983 is actionable only if accompanied by a claim of an actual deprivation of rights.

Instead, we submit that totally independent of the claims of false arrest and imprisonment, the decedent suffered Constitutional deprivations as a result of the conspiracy on the part of the defendants.

Thus, we submit that the Court of Appeals did not misapprehend the consequence of its decision when it remanded the matter for trial on the issue of conspiracy, even though it declined to reverse the verdict on the issues of illegal arrest, imprisonment and the directed verdict on the issue of malicious prosecution. Moreover, we pray that our petition for certiorari will be granted permitting review of these claims on which the Plaintiff did not prevail.

II

CERTIORARI SHOULD NOT BE GRANTED BECAUSE THE CROSS-PETITIONERS HAVE FAILED TO DEMONSTRATE A CONFLICT AMONG THE CIRCUITS ON THE ISSUE OF CONSPIRACY UNDER 42 USC § 1983

Despite framing the issue in its brief as being one in which the circuits are in conflict, the cross-petitioners have failed to demonstrate such a conflict. Consequently, we submit that the cross-petition should be denied.

CONCLUSION

For the foregoing reasons, we submit that the cross-petition for certiorari should be denied.

Respectfully submitted,
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